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I, Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this paper or fee is being filed by depositing it with the United States Postal Service "Express Mail Post Office to Addressee* service under 37 CFR 1.10 on the date indicated below and is addressed to MS: After Final, Commissioner of Patents, P.O. Box 1450, Alexandra, VA 22313-1450 on the date set forth below:

PATENT

Paper No.

File: Graff-P2-01

eter K. Trzyna (Reg. No.

Date:_

November 21, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

Richard A. Graff

Serial No.

10/015,258

Filed

December 11, 2001

For

CROLLS SOO BIDDER SYSTEM USING MULTIPLE COMPUTERS

COMMUNICATING DATA TO CARRY OUT SELLING

FIXED INCOME INSTRUMENTS

Group Art Unit

3786

Examiner

Rosen, Nicholas D.

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

NOTICE OF APPEAL

SIR:

Applicant hereby submits this Notice of Appeal to appeal to the Board of Patent Appeals from the decision of the Examiner mailed August 1, 2003, finally rejecting claims 1-27.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

eter K. Trzyna

(Reg. No. 32,601)

Date: November 21, 2003

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